

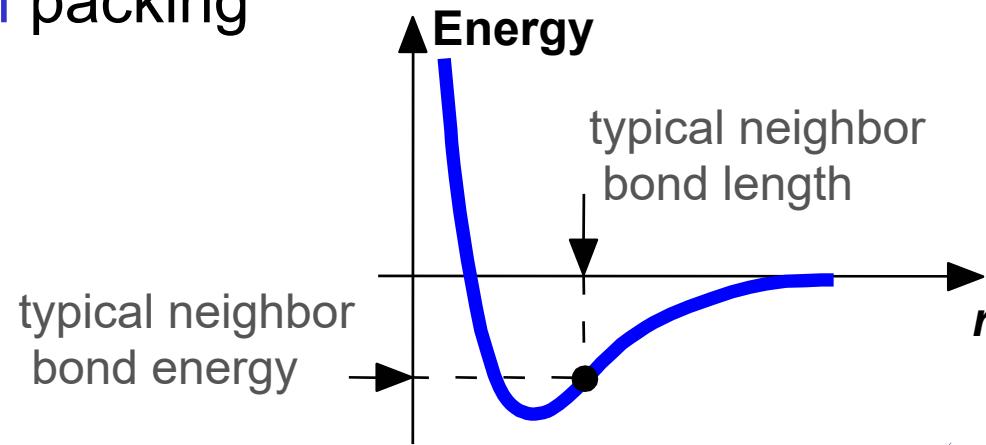
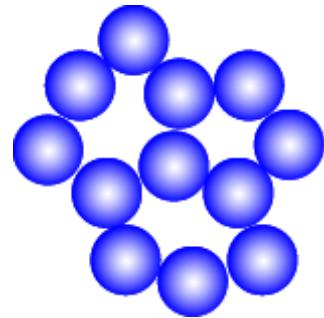
Chapter 3: Fundamentals of Crystallography

ISSUES TO ADDRESS...

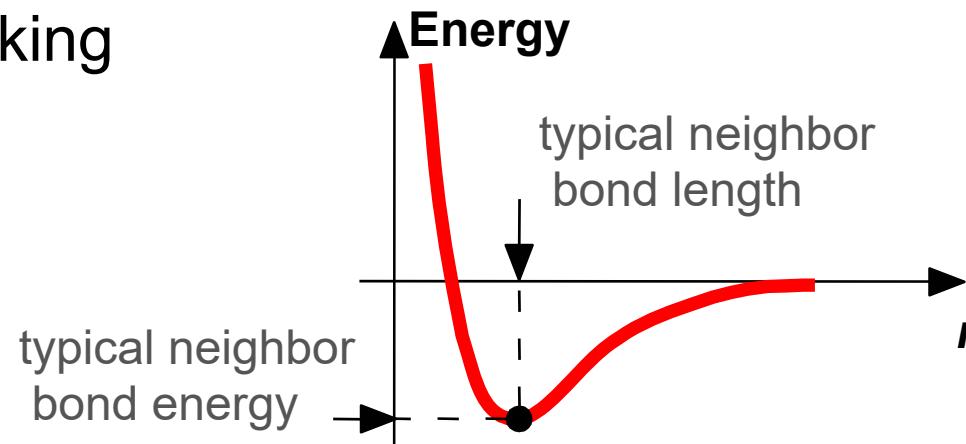
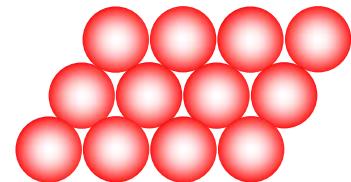
- What is the difference in atomic arrangement between crystalline and noncrystalline solids?
- How are crystallographic directions and planes named?
- Under what circumstances does a material property vary with the measurement direction?

Energy and Packing

- Non dense, random packing



- Dense, ordered packing

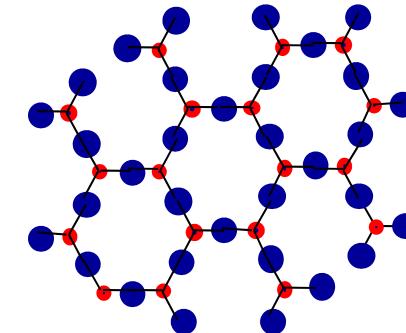


Dense, ordered packed structures tend to have lower energies.

Materials and Packing

Crystalline materials...

- atoms pack in periodic, 3D arrays
- typical of:
 - metals
 - many ceramics
 - some polymers



crystalline SiO_2

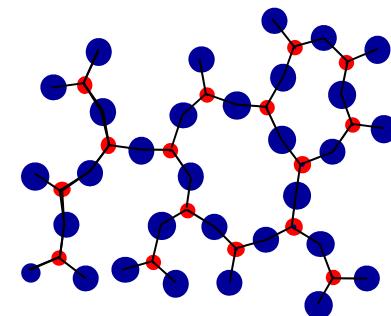
Adapted from Fig. 3.23(a),
Callister & Rethwisch 8e.

Noncrystalline materials...

- atoms have no periodic packing
- occurs for:
 - complex structures
 - rapid cooling

"Amorphous" = Noncrystalline
= Vitreous = Glassy

• Si • Oxygen

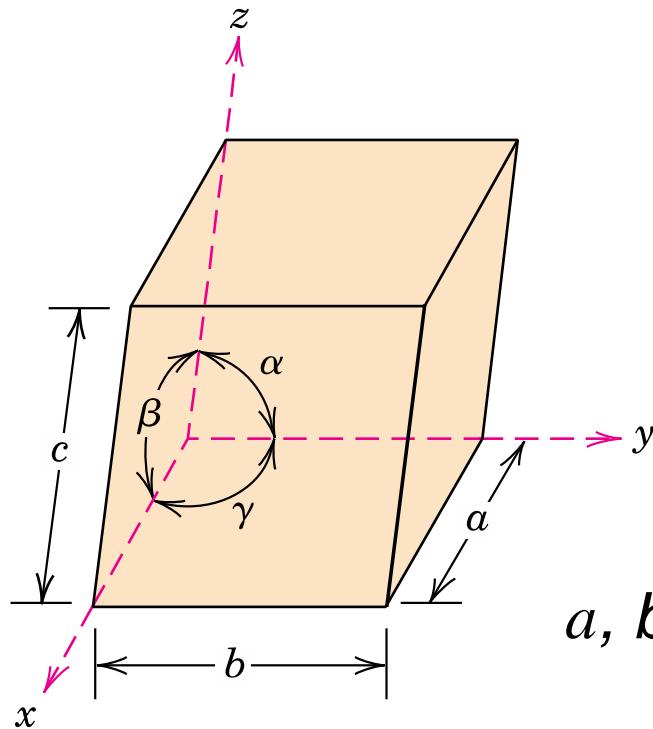


noncrystalline SiO_2

Adapted from Fig. 3.23(b),
Callister & Rethwisch 8e.

Crystal Systems

Unit cell: smallest repetitive volume which contains the complete lattice pattern of a crystal.

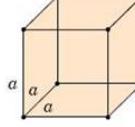
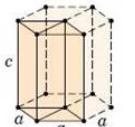
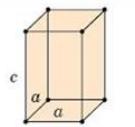
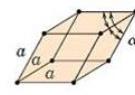
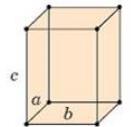
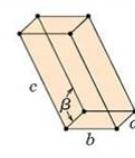
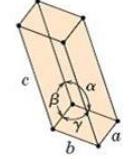


7 crystal systems

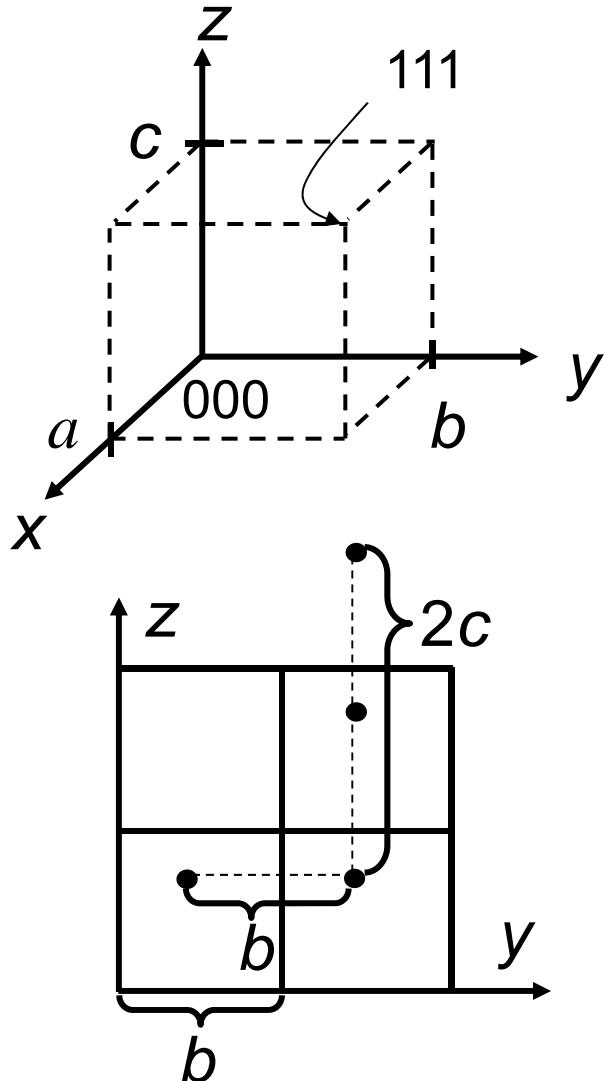
14 crystal lattices

a , b , and c are the lattice constants

Table 3.1 Lattice Parameter Relationships and Figures Showing Unit Cell Geometries for the Seven Crystal Systems

Crystal System	Axial Relationships	Interaxial Angles	Unit Cell Geometry
Cubic	$a = b = c$	$\alpha = \beta = \gamma = 90^\circ$	
Hexagonal	$a = b \neq c$	$\alpha = \beta = 90^\circ, \gamma = 120^\circ$	
Tetragonal	$a = b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	
Rhombohedral (Trigonal)	$a = b = c$	$\alpha = \beta = \gamma \neq 90^\circ$	
Orthorhombic	$a \neq b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	
Monoclinic	$a \neq b \neq c$	$\alpha = \gamma = 90^\circ \neq \beta$	
Triclinic	$a \neq b \neq c$	$\alpha \neq \beta \neq \gamma \neq 90^\circ$	

Point Coordinates



Point coordinates for unit cell center are

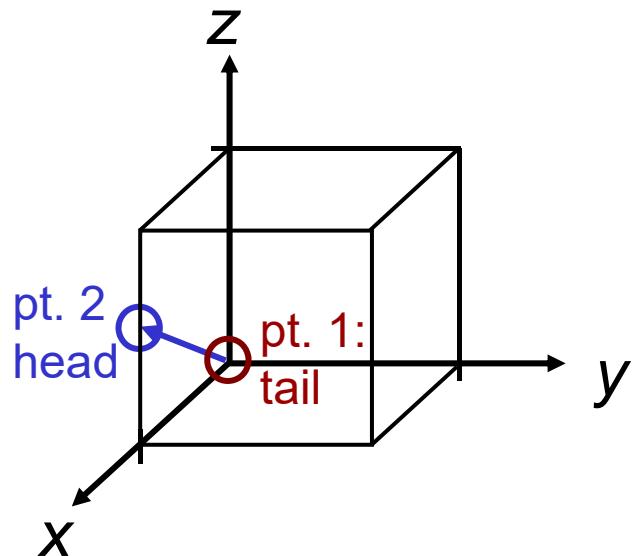
$$a/2, b/2, c/2 \quad \frac{1}{2} \frac{1}{2} \frac{1}{2}$$

Point coordinates for unit cell corner are 111

Translation: integer multiple of lattice constants \rightarrow identical position in another unit cell

Crystallographic Directions

Algorithm



ex:

$$\text{pt. 1 } x_1 = 0, y_1 = 0, z_1 = 0$$

$$\text{pt. 2 } x_2 = a, y_2 = 0, z_2 = c/2$$

$$\frac{a - 0}{a} \quad \frac{0 - 0}{b} \quad \frac{c/2 - 0}{c}$$

1. Determine coordinates of vector tail, pt. 1: $x_1, y_1, \& z_1$; and vector head, pt. 2: $x_2, y_2, \& z_2$.
2. Tail point coordinates subtracted from head point coordinates.
3. Normalize coordinate differences in terms of lattice parameters a, b , and c :

$$\frac{x_2 - x_1}{a} \quad \frac{y_2 - y_1}{b} \quad \frac{z_2 - z_1}{c}$$

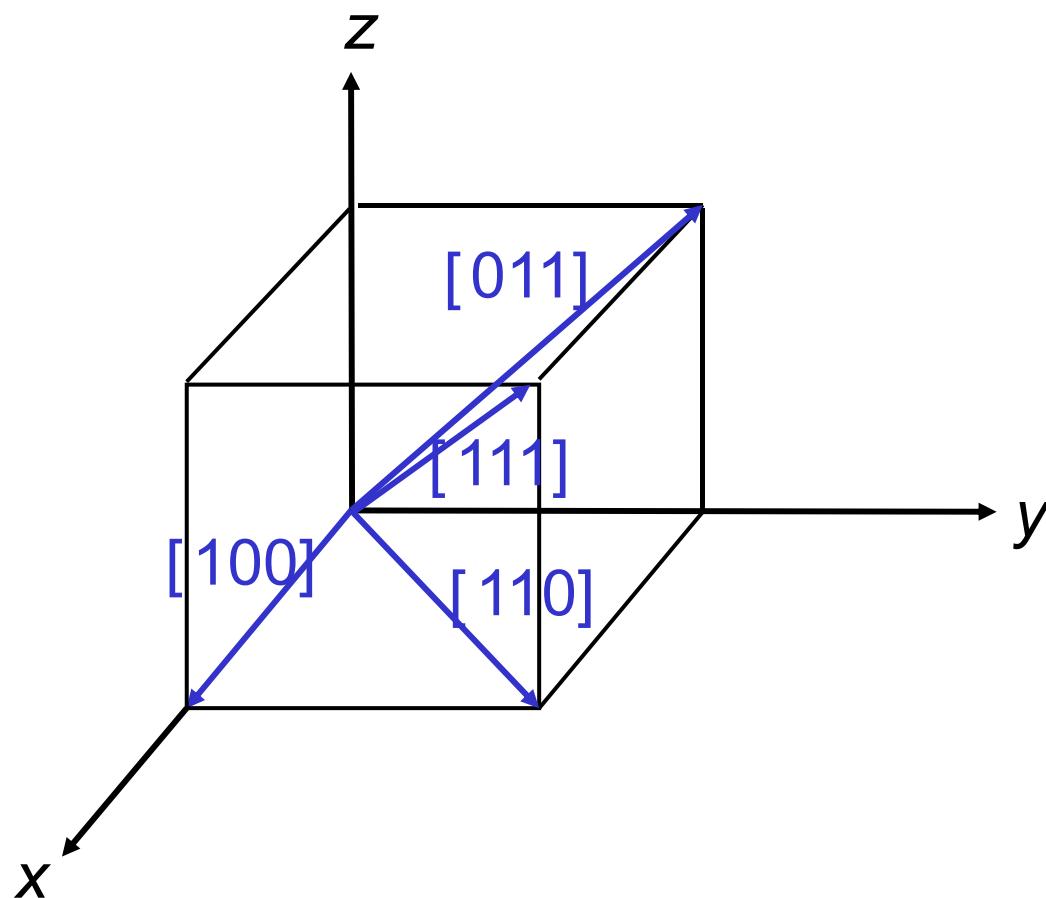
4. Adjust to smallest integer values
5. Enclose in square brackets, no commas

$$[uvw]$$

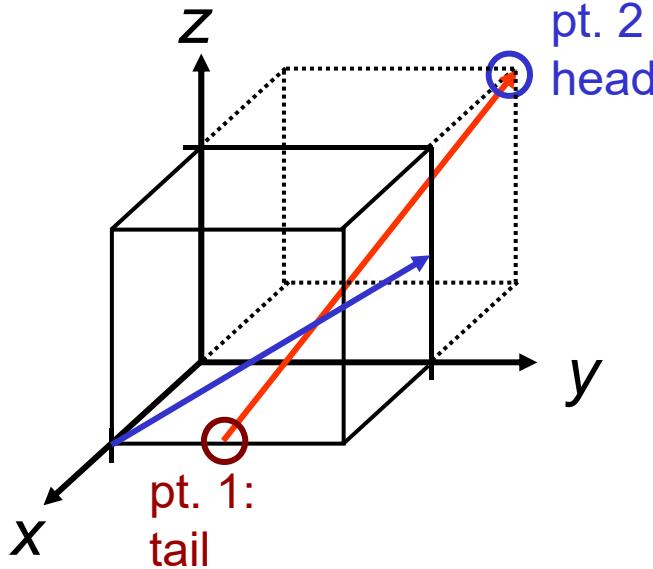
$$\Rightarrow 1, 0, 1/2 \Rightarrow 2, 0, 1$$

$$\Rightarrow [201]$$

Crystallographic Directions



Crystallographic Directions



Example 2:

$$\text{pt. 1 } x_1 = a, \quad y_1 = b/2, \quad z_1 = 0$$

$$\text{pt. 2 } x_2 = -a, \quad y_2 = b, \quad z_2 = c$$

$$\frac{-a - a}{a} \quad \frac{b - b/2}{b} \quad \frac{c - 0}{c}$$

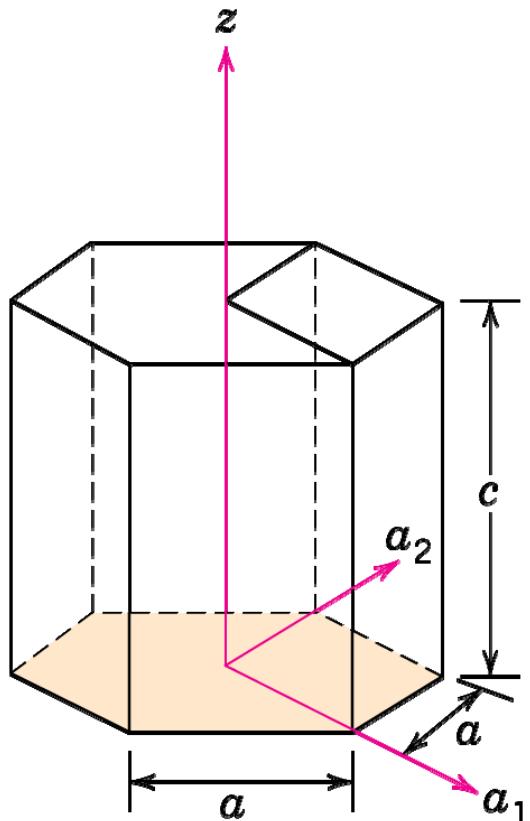
$$\Rightarrow -2, 1/2, 1$$

Multiplying by 2 to eliminate the fraction

$-4, 1, 2 \Rightarrow [\bar{4}12]$ where the overbar represents a negative index

families of directions $\langle uvw \rangle$

Determination of HCP Crystallographic Directions



Algorithm

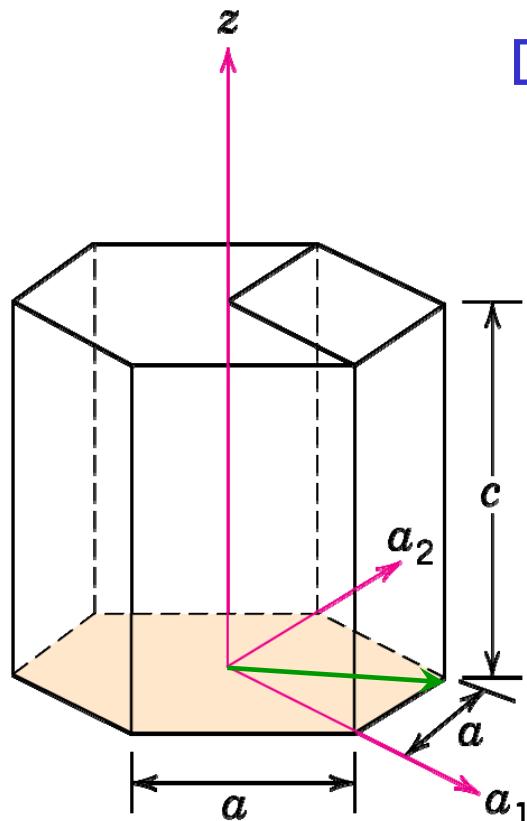
1. Determine coordinates of vector tail, pt. 1: $x_1, y_1, \& z_1$; and vector head, pt. 2: $x_2, y_2, \& z_2$. in terms of three axis (a_1, a_2 , and z)
2. Tail point coordinates subtracted from head point coordinates and normalized by unit cell dimensions a and c
3. Adjust to smallest integer values
4. Enclose in square brackets, no commas, for three-axis coordinates $[u'v'w']$
5. Convert to four-axis Miller-Bravais lattice coordinates using equations below:

$$u = \frac{1}{3}(2u' - v') \quad v = \frac{1}{3}(2v' - u')$$

$$t = -(u + v) \quad w = w'$$

6. Adjust to smallest integer values and enclose in brackets $[uvw]$

Determination of HCP Crystallographic Directions



Determine indices for green vector

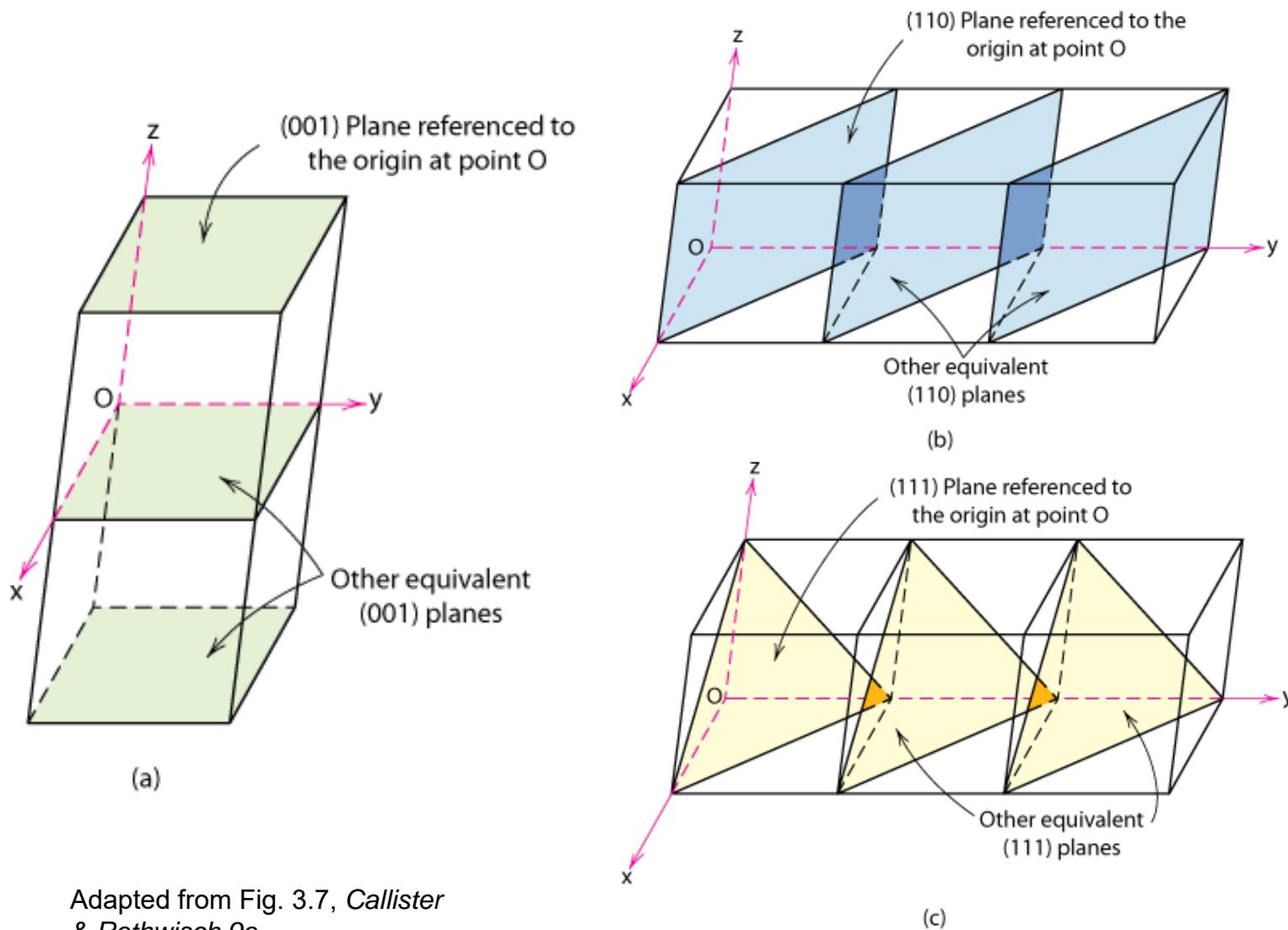
<u>Example</u>	a_1	a_2	z
1. Tail location	0	0	0
Head location	a	a	$0c$
2. Normalized	1	1	0
3. Reduction	1	1	0
4. Brackets			[110]
5. Convert to 4-axis parameters			

$$u = \frac{1}{3}[(2)(1) - (1)] = \frac{1}{3} \quad v = \frac{1}{3}[(2)(1) - (1)] = \frac{1}{3}$$
$$t = -\left(\frac{1}{3} + \frac{1}{3}\right) = -\frac{2}{3} \quad w = 0$$

6. Reduction & Brackets

$$1/3, 1/3, -2/3, 0 \Rightarrow 1, 1, -2, 0 \Rightarrow [11\bar{2}0]$$

Crystallographic Planes



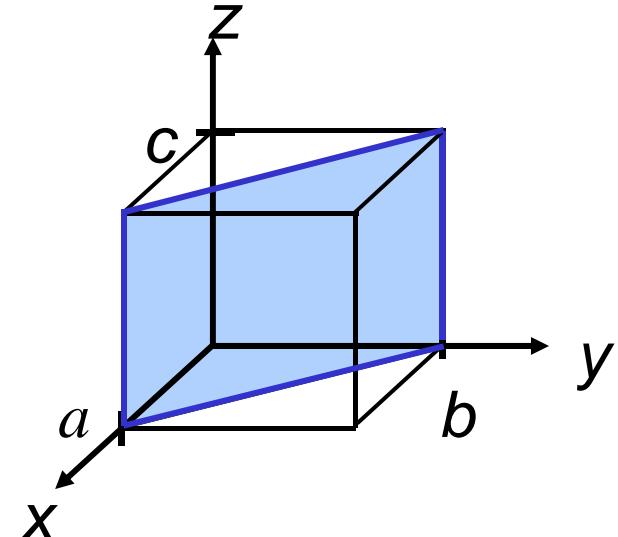
Crystallographic Planes

- Miller Indices: Reciprocals of the (three) axial intercepts for a plane, cleared of fractions & common multiples. All parallel planes have same Miller indices.
- Algorithm
 1. Read off intercepts of plane with axes in terms of a , b , c
 2. Take reciprocals of intercepts
 3. Reduce to smallest integer values
 4. Enclose in parentheses, no commas i.e., (hkl)

Crystallographic Planes

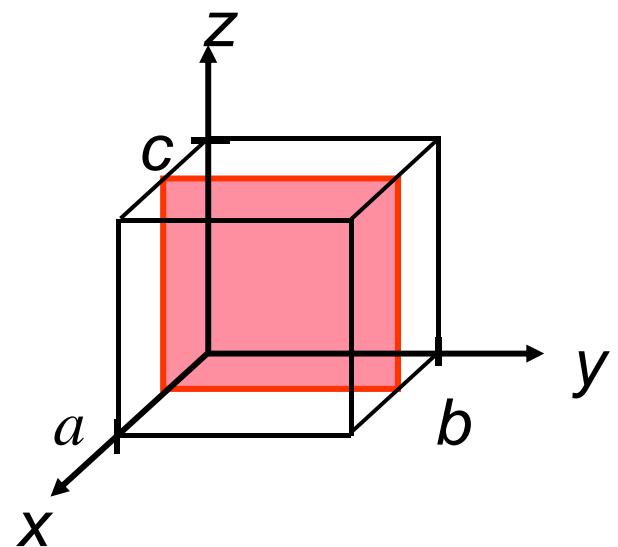
example

	a	b	c
1. Intercepts	1	1	∞
2. Reciprocals	$1/1$	$1/1$	$1/\infty$
	1	1	0
3. Reduction	1	1	0
4. Miller Indices	(110)		



example

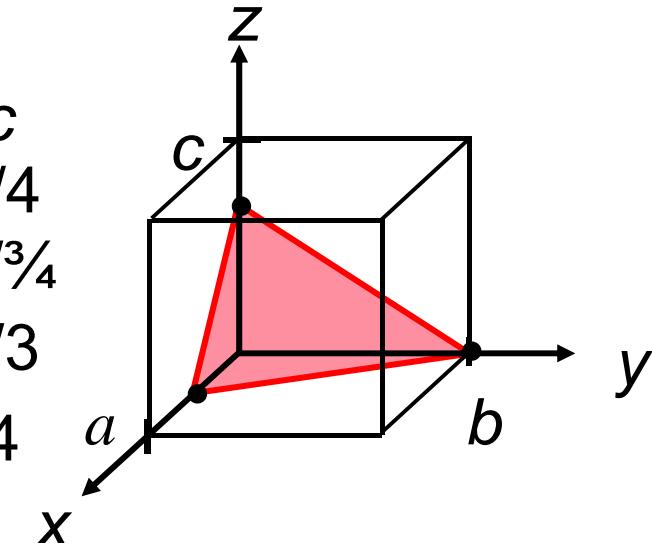
	a	b	c
1. Intercepts	$1/2$	∞	∞
2. Reciprocals	$1/\frac{1}{2}$	$1/\infty$	$1/\infty$
	2	0	0
3. Reduction	2	0	0
4. Miller Indices	(100)		



Crystallographic Planes

example

	a	b	c
1. Intercepts	1/2	1	3/4
2. Reciprocals	1/½	1/1	1/¾
	2	1	4/3
3. Reduction	6	3	4
4. Miller Indices	(634)		



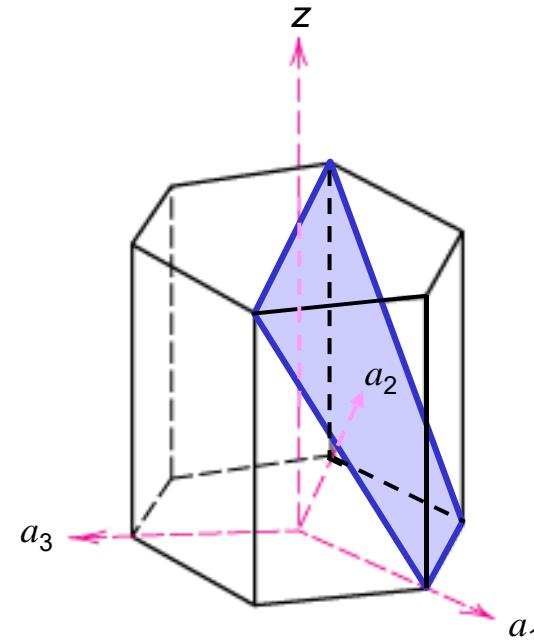
Family of Planes $\{hk\}$

Ex: $\{100\} = (100), (010), (001), (\bar{1}00), (0\bar{1}0), (00\bar{1})$

Crystallographic Planes (HCP)

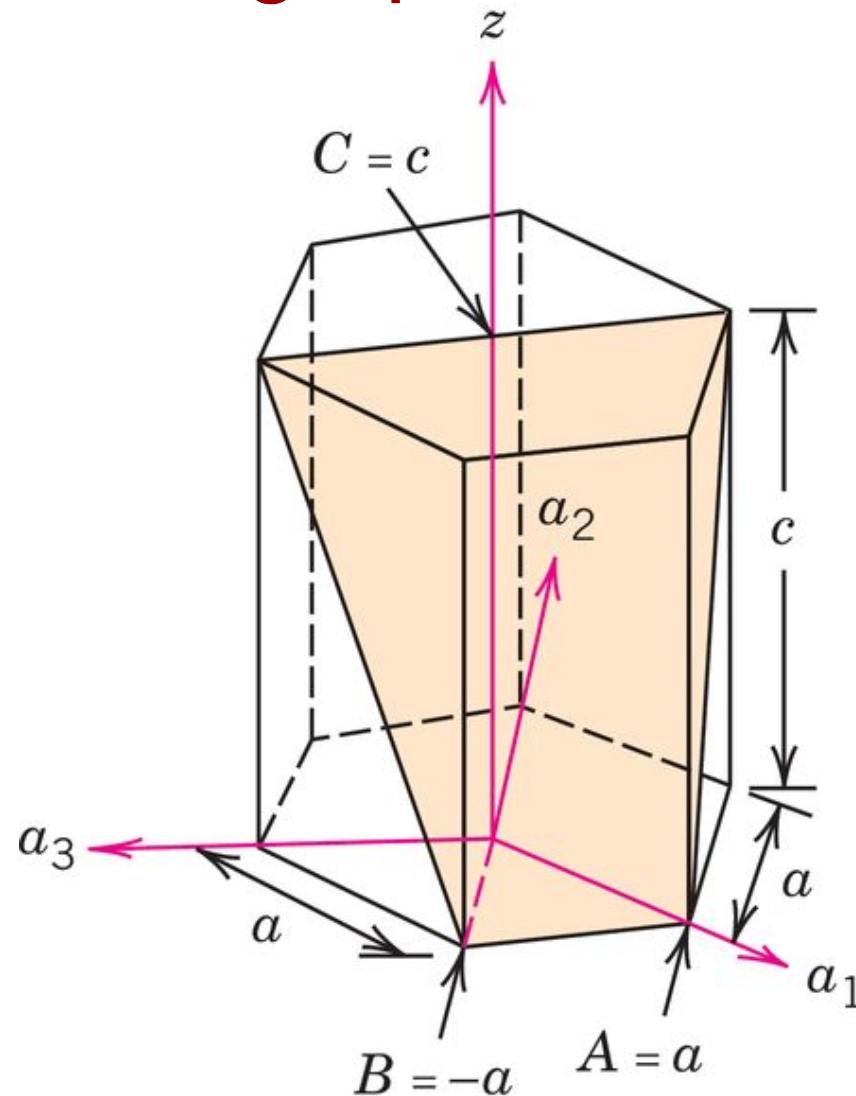
- In hexagonal unit cells the same idea is used

<u>example</u>	a_1	a_2	a_3	c
1. Intercepts	1	∞	-1	1
2. Reciprocals	1	$1/\infty$	-1	1
	1	0	-1	1
3. Reduction	1	0	-1	1
4. Miller-Bravais Indices	(10 $\bar{1}$ 1)			



Adapted from Fig. 3.8,
Callister & Rethwisch 9e.

Crystallographic Planes (HCP)



Single Crystalline vs. Polycrystalline



Photograph courtesy of irocks.com, Megan Foreman photo.

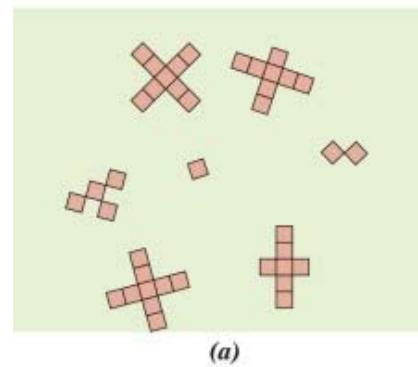
Fig_03_09



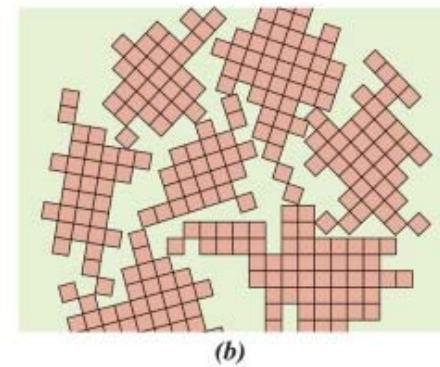
Courtesy of irocks.com

(d)

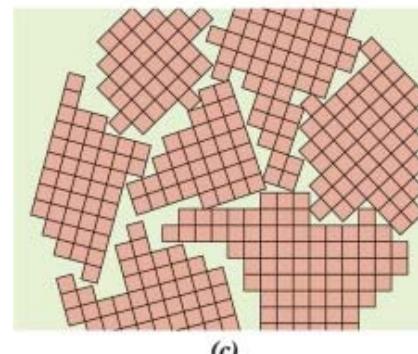
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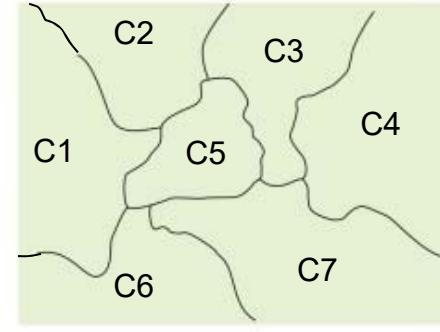
(a)



(b)



(c)

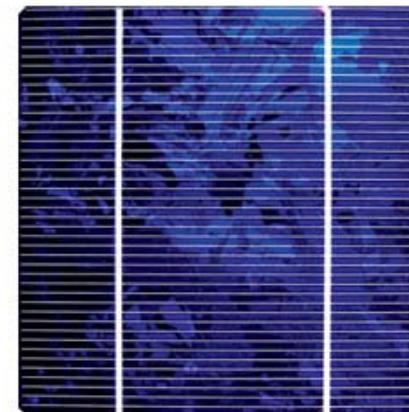
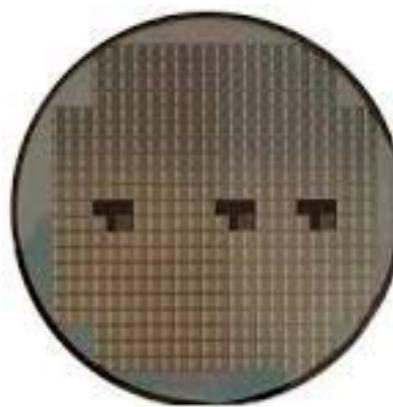


(d)

Adapted from W. Rosenhain, An Introduction to the Study of Physical Metallurgy, 2nd edition, Constable & Company Ltd., London, 1915.

Fig_03_10

Single Crystalline vs. Polycrystalline



Summary

- Atoms may assemble into **crystalline** or **amorphous** structures.
- **Crystallographic points, directions and planes** are specified in terms of indexing schemes. Crystallographic directions and planes are related to **atomic linear densities** and **planar densities**.
- Materials can be **single crystals** or **polycrystalline**. Material properties generally vary with single crystal orientation (i.e., they are **anisotropic**), but are generally non-directional (i.e., they are **isotropic**) in polycrystals with randomly oriented grains.