

# Engineering aspect of emulsion polymerization

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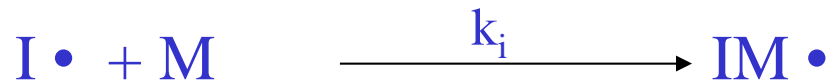
**Emulsion Short Course**  
**Yonsei University**

## Contents

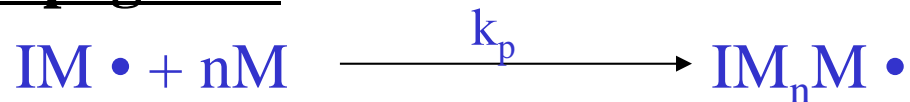
- Free radical polymerization kinetics
- Emulsion polymerization
- Reactor configuration
- Structured particles-Grafting process
- Process modeling

## Free radical polymerization

### Initiation



### Propagation



### Transfer

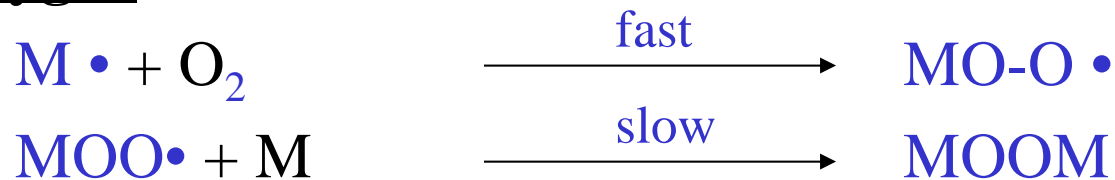


### Termination



# Inhibitions of Polymerization

## Oxygen



## Inhibitors

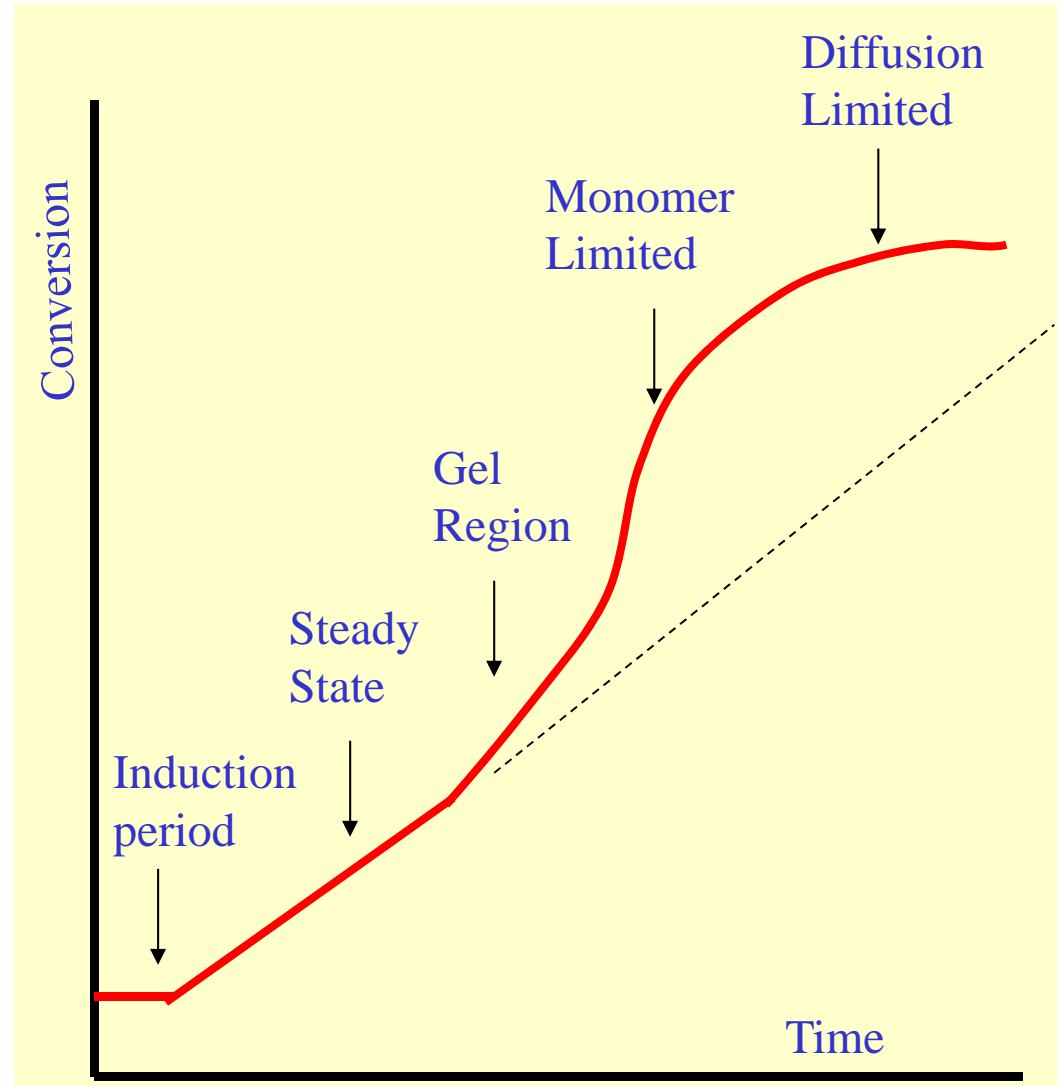
- |               |                          |
|---------------|--------------------------|
| TBC:          | t-butylpyrocatechol      |
| MEHQ:         | hydroquinone methylether |
| Benzoquinone: | oil soluble              |
| Hydroquinone: | water soluble            |

## Rate of Polymerization

### Steady state process

( $R_i = R_t$ ;  $M \cdot$  is constant)

$$R_p = k_p M \left( \frac{f k_d [I]}{k_t} \right)^{1/2}$$



## Copolymerization

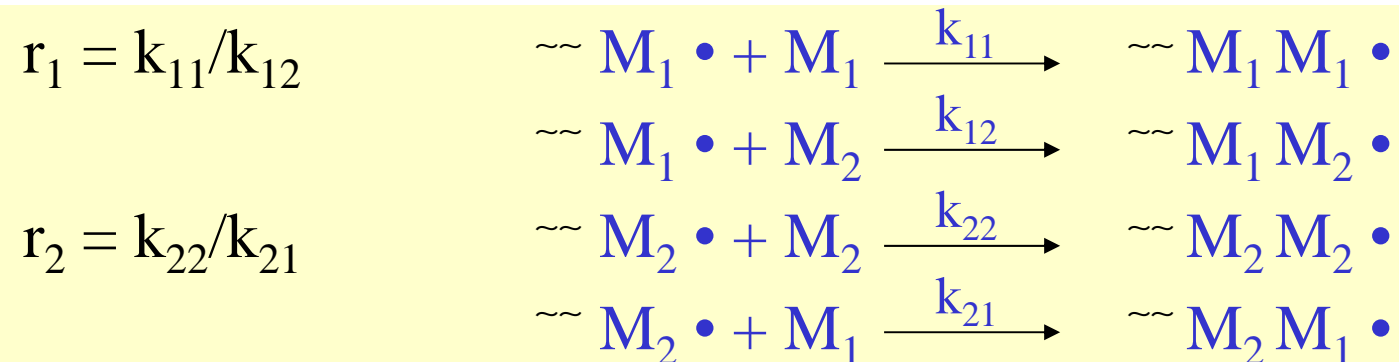
### Copolymer composition; controlled by

Ratio of monomers in feed

Relative reactivity of monomers

### Reactivity ratios

For monomers  $M_1$  and  $M_2$

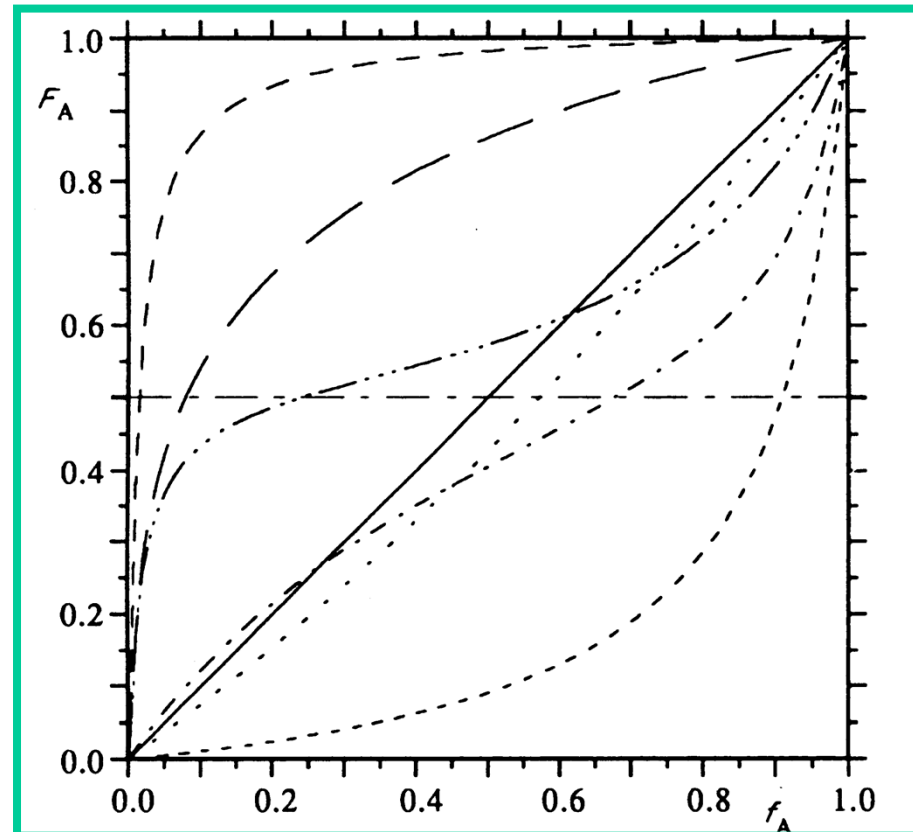
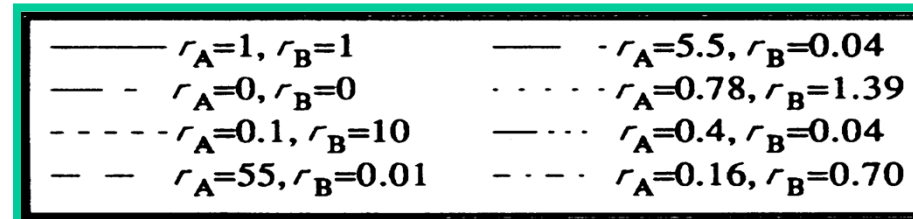


Emulsion polymerization: *Partition coefficient*

# Copolymer composition

$$F_A = \left( \frac{r_A f_A^2 + f_A f_B}{r_A f_A^2 + 2 f_A f_B + r_B f_B^2} \right)$$

$$F_B = \left( \frac{r_B f_B^2 + f_A f_B}{r_A f_A^2 + 2 f_A f_B + r_B f_B^2} \right)$$



## Kinetic Chain Length

$\nu$  : Average number of monomer molecules polymerized

$$\begin{aligned} \nu &= \frac{R_p}{R_i} = \frac{R_p}{R_i} \\ &= \left( \frac{k_p^2}{2k_t} \right) \frac{[M]^2}{R_p} = \left( \frac{k_p}{2f k_d k_t} \right) \frac{[M]}{[I]^{1/2}} \end{aligned}$$

Increased monomer feed rate	→	Increased MW
Increased initiator	→	Decreased MW
Increased temperature	→	Decreased MW

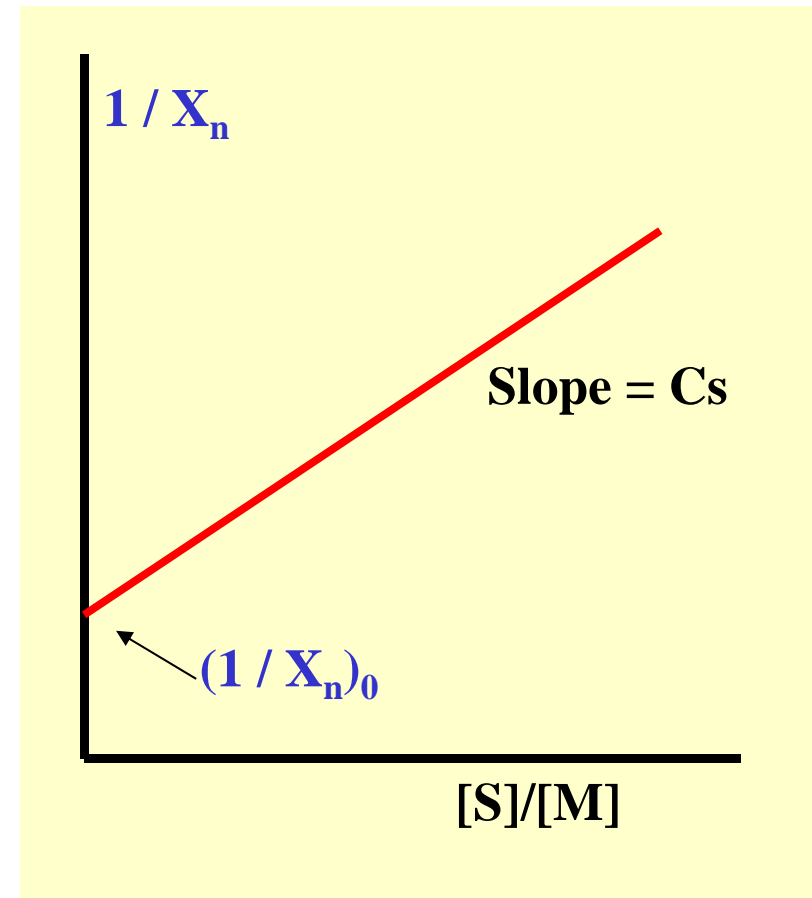


## Chain transfer agent

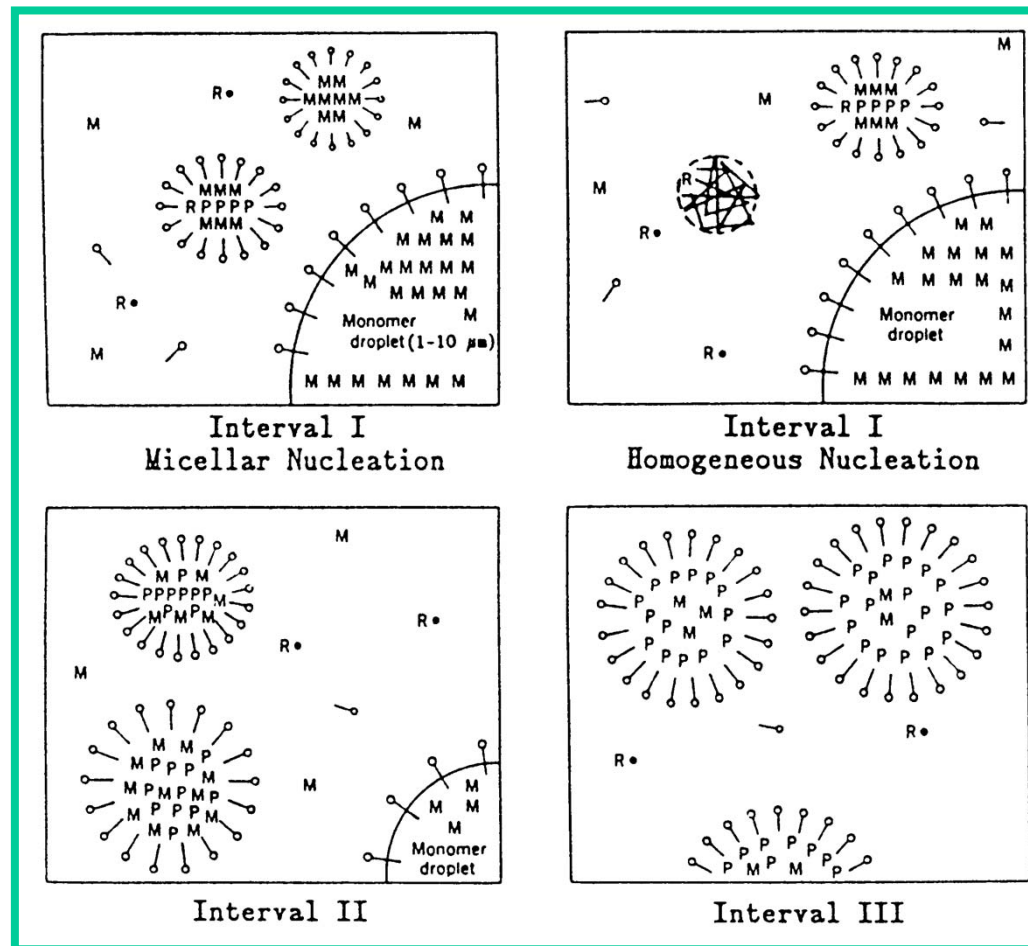
$C_s$  : Chain transfer constant

$$X_n = \frac{R_p}{(R_t + R_{tr})}$$

$$1 / X_n = (1 / X_n)_0 + C_s \frac{[S]}{[M]}$$



# Batch Emulsion Polymerization Kinetics



D. Lee, Makromol. Chem., Makromol. Symp., 33, 117 (1990)

## S-E Phase I

- Surfactant in form of micelles swollen with monomer
- Radicals from water soluble initiator captured by very small micelles, and polymerization begins by forming particles
- By end of phase 1, all particles are formed. There is no excess surfactant.

$$N \propto (C_s a_s)^{0.6} (\rho / \mu)^{0.4}$$

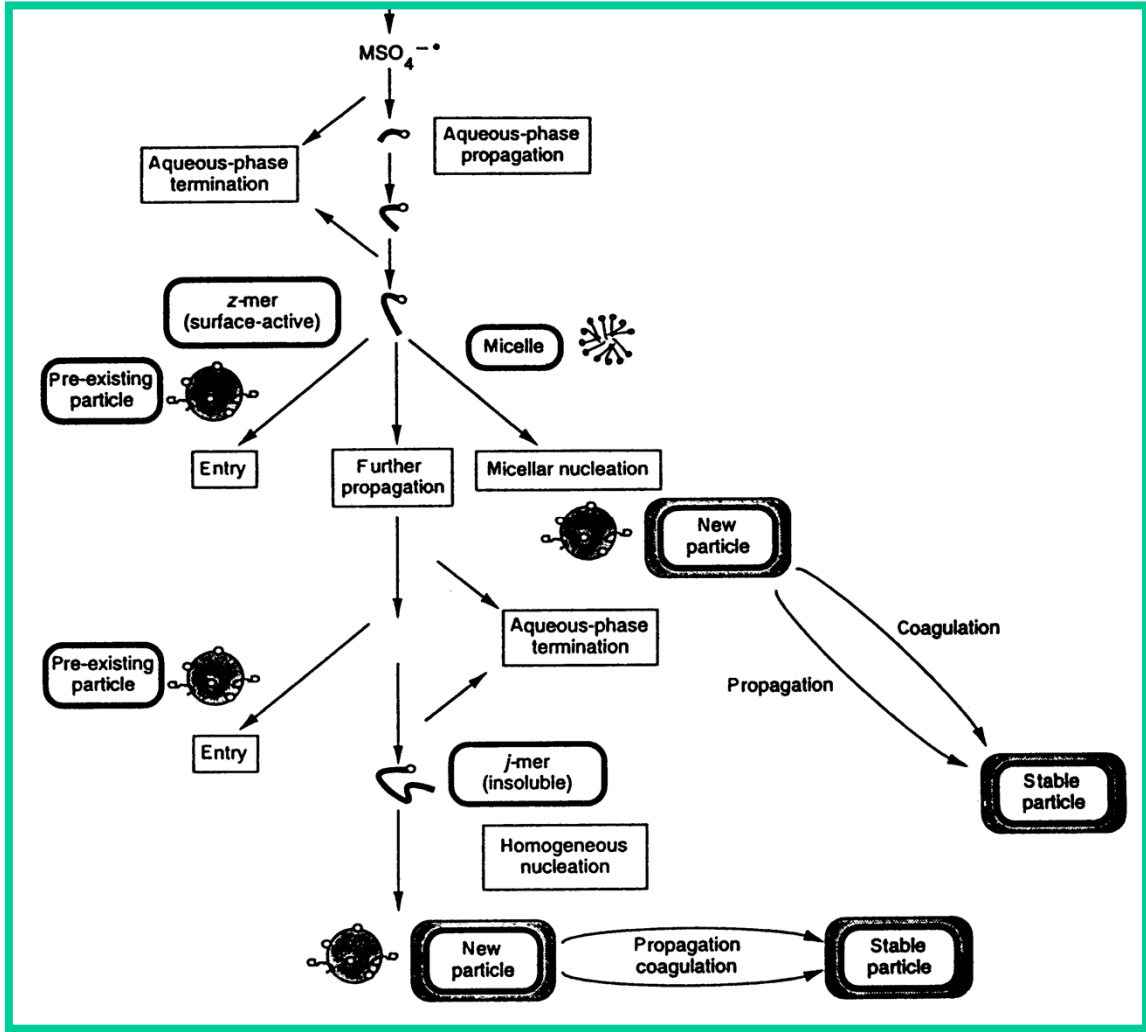
$C_s$  : Concentration of surfactant

$a_s$  : Area of surfactant molecule

$\rho$  : Rate of radical generation

$\mu$  : rate of particle growth

# Particle formation during interval I



R. Gilbert, in Emulsion polymerization and emulsion polymer edited by P. Lovel and M. El-Aasser, 1997

## S-E Phase II

No new particles are formed.

- Each particle contains 0 or 1 radical due to fast termination in a small particle.
- As particles grow, the amount of emulsifier available to stabilize the particle surface area is reducing.

$$R_p = k_p ( N/2 ) [M]$$

$R_p$  : Rate of polymerization per ml of water

$k_p$  : propagation constant

$N$  : Number of particle per ml of water

$M$  : Concentration of monomer in particles

## S-E Phase II

$$M_n \propto \frac{\text{Rate of polymerization}}{\text{Rate of radical capture}} = \frac{k_p [M]}{(\rho / N)} = k_p N [M] / \rho$$

- Added soap must not exceed surface coverage, or new particles will form.
- The smaller particles have greater surface area and compete at the expense of larger particles.
- [M] is controlled by:  
 Monomer add rate and limited swelling of particles

## Rate of polymerization vs Molecular weight

$R_p$  depends on  $N$  and  $M$ , but not on the rate of radical generation during the steady-state period.

$$R_p = k_p ( N/2 ) [M]$$

$$M_n = 2 R_p / \rho$$

High molecular weight polymers can be obtained without hurting reaction rate by the combination of *large  $N$  and small  $\rho$* .

## S-E Phase III

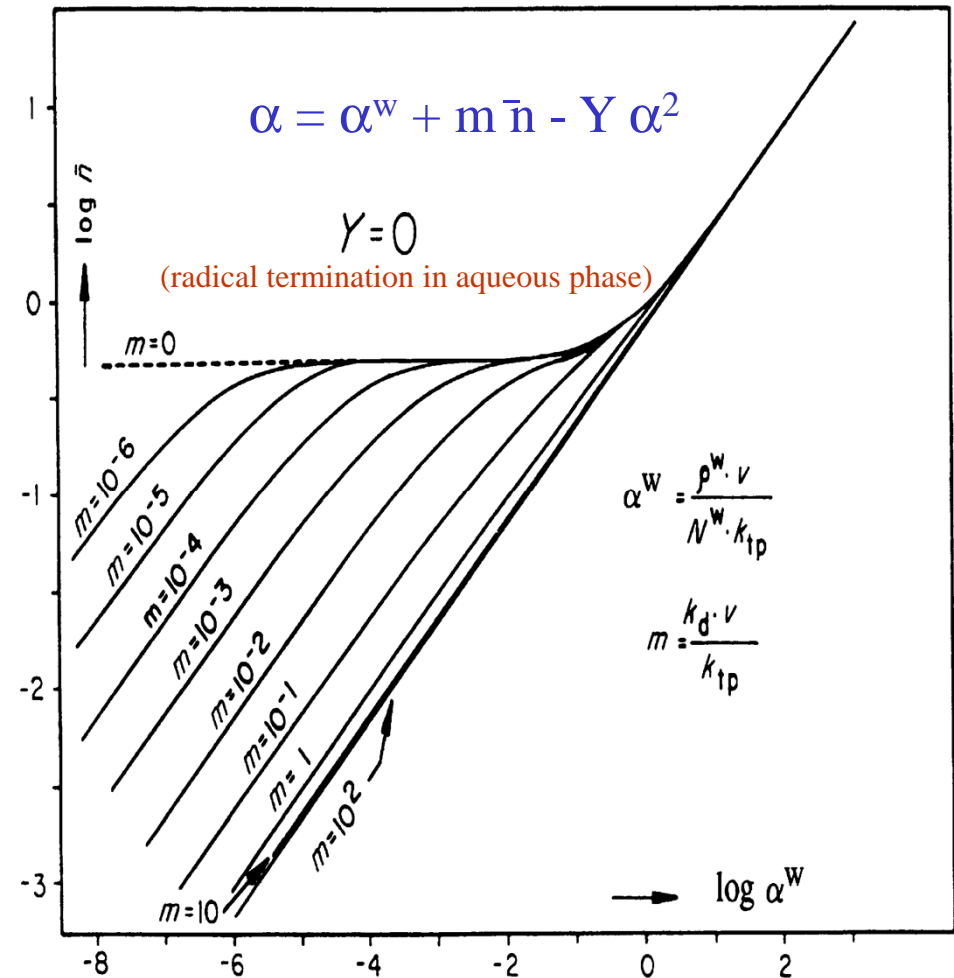
- During Phase III, the batch is finished off.
- Crosslinking increases dramatically during final conversion

$$\begin{aligned} \text{Rate of crosslinking} &\propto \frac{\text{Rate of generation of branches}}{\text{Rate of generation of polymers}} \\ &\propto \frac{\text{Concentration of polymer}}{\text{Concentration of monomer}} = \frac{\text{Conversion}}{1-\text{Conversion}} \end{aligned}$$



## n̄ calculation

- Calculation of live radicals in particle phase
- Stationary state distribution of radicals
  - absorption
  - = initiator decomposition
  - + desorption - termination



## Reactor classifications

- Batch process
  - Adding all ingredients to the reactor
  - Batch to batch variability during interval I
    - Seeded polymerization
  - Composition drift
  - Monomer partitioning (solubility difference)
  - Heat transfer requirement
- Semi-continuous process
  - Controlled introduction of monomers
  - Optimum monomer addition profile for composition control
- Continuous process
  - CSTR vs Tubular reactor
  - Single vs multiple reactors

## Advantages: Semi continuous process

- Reaction temperature
  - Controlled by monomer feed rate
- Copolymer composition
  - Monomer feed control
- Particle concentration
  - Seed latex
  - Controlled feeding of emulsifiers, initiators, and monomers
- Particle size distribution
  - Controlled feeding of emulsifiers and monomers
- Structured particle
  - Easier to control morphology

## Structured latex particles - Grafting

*Tailored structure for desired final physical properties*

### Thermodynamic and kinetic process parameters

polymer/water interfacial tension

core polymer particle size and size distribution

particle surface polarity

surfactant type and level

initiator type and level

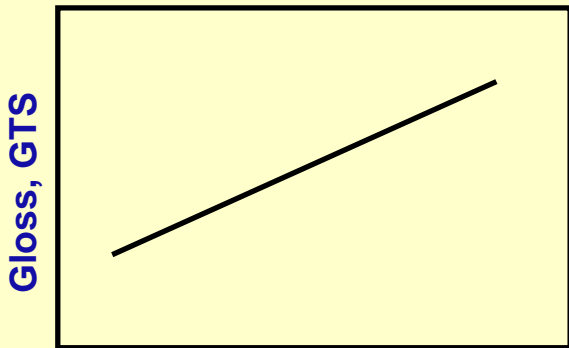
chain transfer agent

monomer to polymer ratio

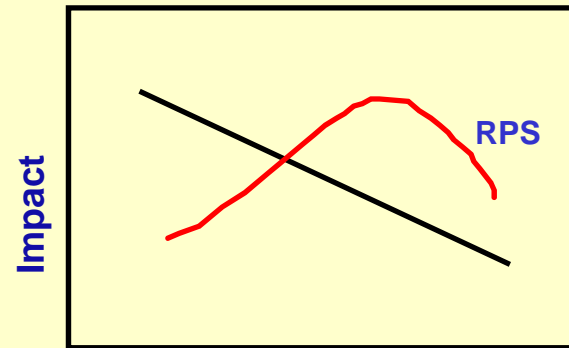
reaction temperature

crosslinking

# Parameter - Structure - Property

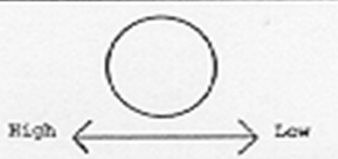











Large -- RPS -- Small  
 L -- Graft Level -- H  
 L -- Rubber Gel -- H  
 H/S -- Morphol -- C/S  
 H --- Metering Rate -- L  
 L -- Initiator Level -- H  
 L -- Rxn Temp -- H  
 H -- CTA -- L



Small-- RPS -- Large  
 L -- Graft Level -- H  
 L -- Rubber Gel -- H  
 H/S -- Morphol -- C/S  
 H --- Metering Rate -- L  
 L -- Initiator Level -- H  
 L -- Rxn Temp -- H  
 H -- CTA -- L

# Kinetic Parameter Controls

<u>Polystyrene Latex Particle</u> Molecular Weight of Polystyrene			
<u>Polymerization Conditions for 2nd Stage S/B Copolymers</u> Polymerization Temperature Degree of Monomer Swelling Chain Transfer Agent	Low $\longleftrightarrow$ High Low $\longleftrightarrow$ High Low $\longleftrightarrow$ High		
<u>Stage Ratio (PS/S-B)</u> 80/20			
50/50			
20/80			

D.I. Lee, ACS Symp. Ser., 165, 405 (1981)

## Defining grafting parameters

<u>Formulation Parameters</u>	<u>Process parameters</u>
Seed latex particle size	Reaction temperature
Monomer level	Batch or Semi continuous
Initiator type and level	Feed rates
CTA level	
Additional surfactant	

### Experimental design:

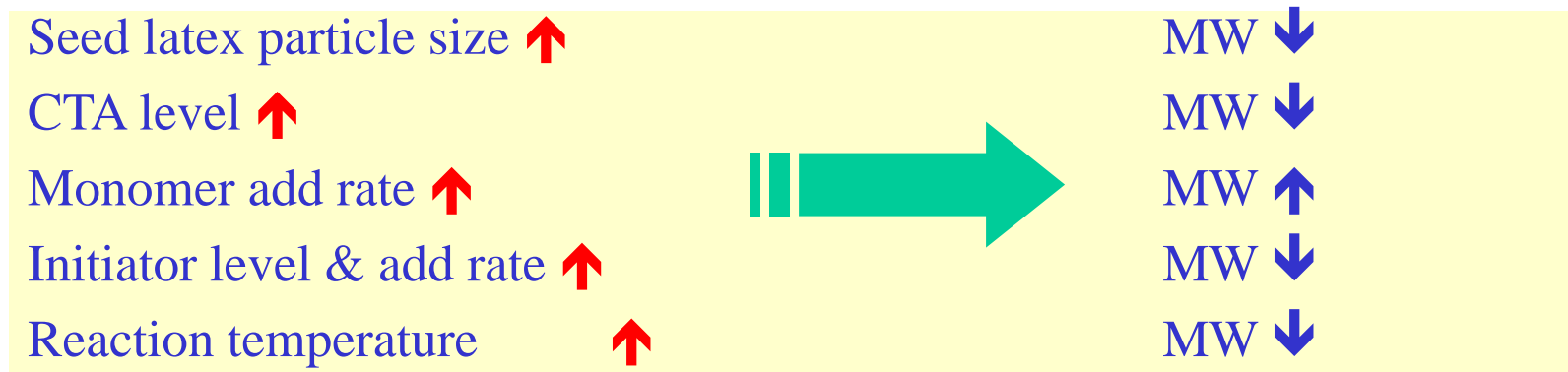
Plackett-Burman screening design

(n+1 experiments for n variables)

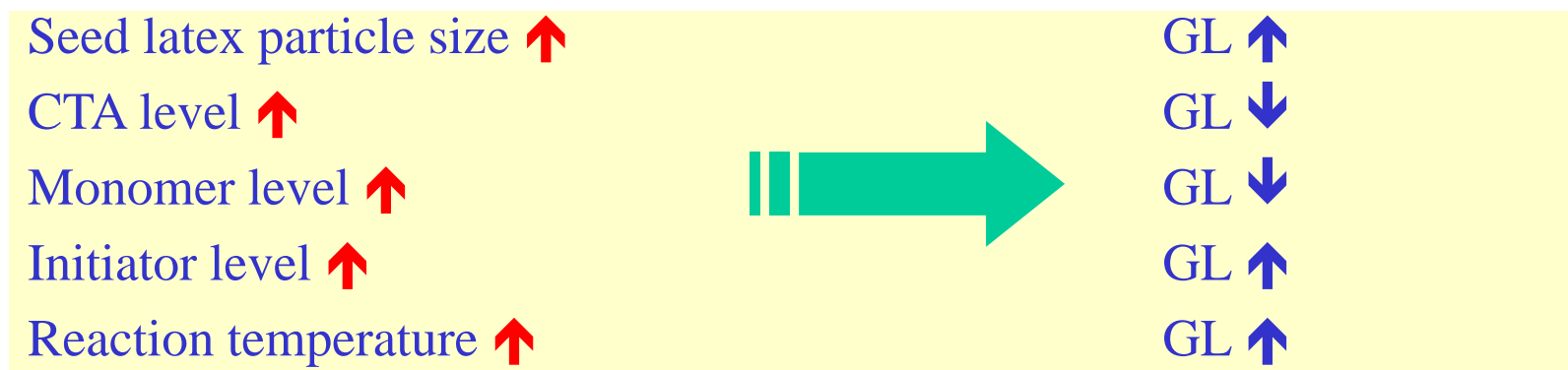
Factorial or partial factorial design

## Grafting

### Molecular weight of Graft chain



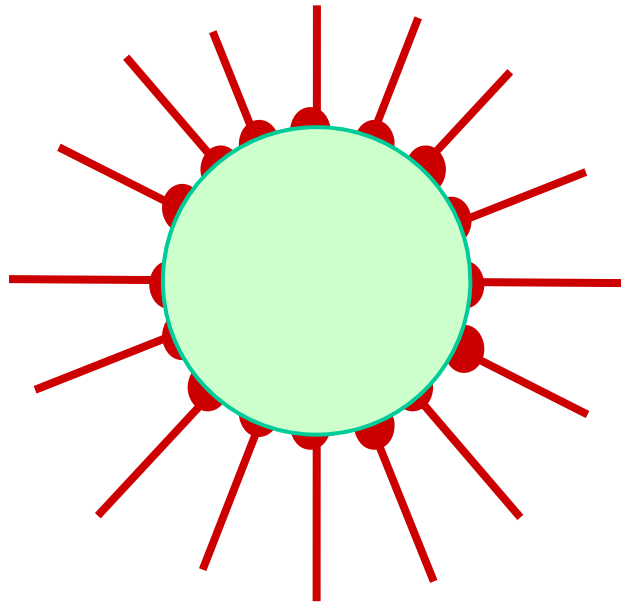
### Graft efficiency = Wt of grafted polymer/Wt of total polymer formed



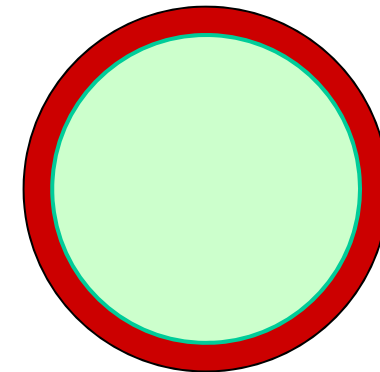
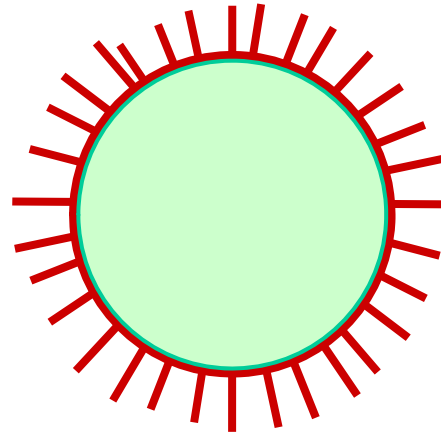


## Graft MW vs Graft sites

At the same Graft level



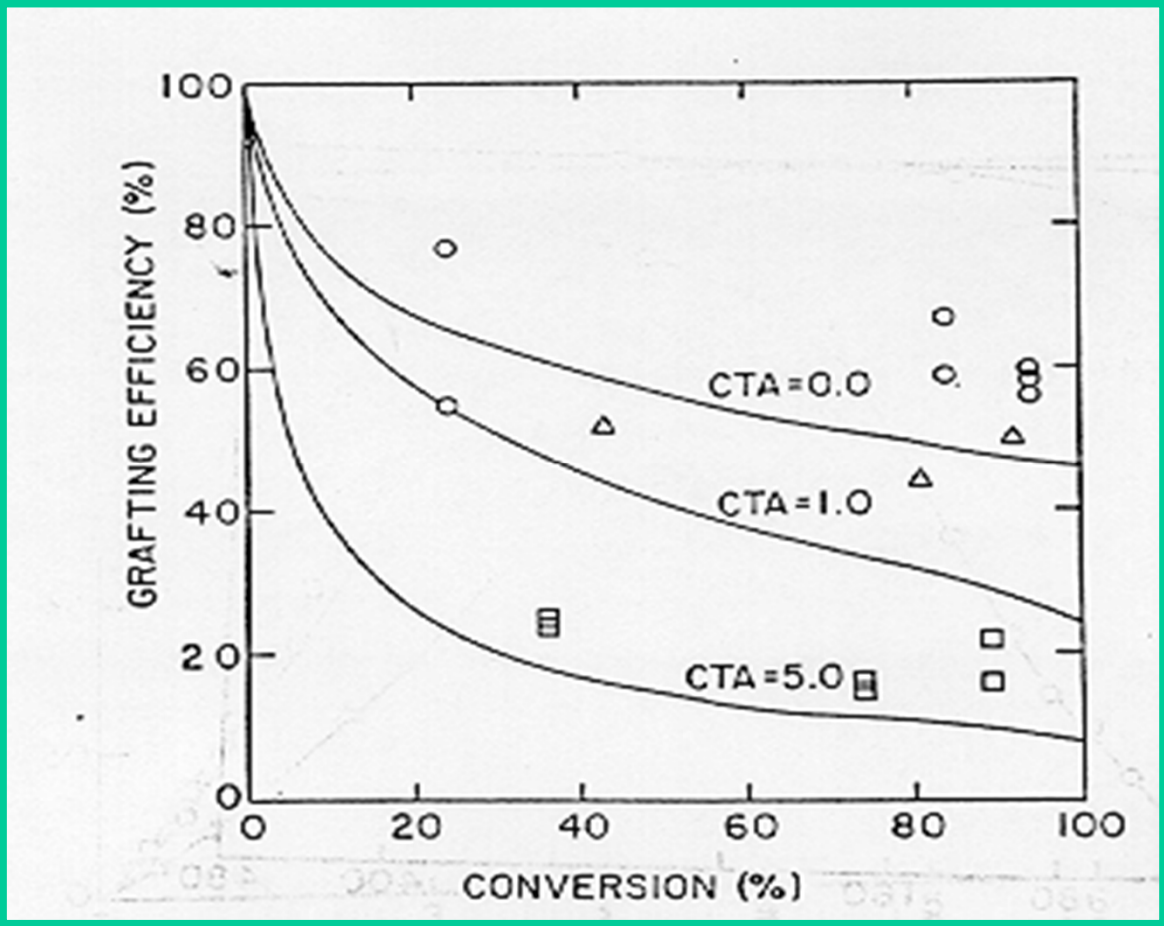
High MW, Less graft sites  
High toughness, low gloss



Low MW, Many graft sites  
Low toughness, High gloss

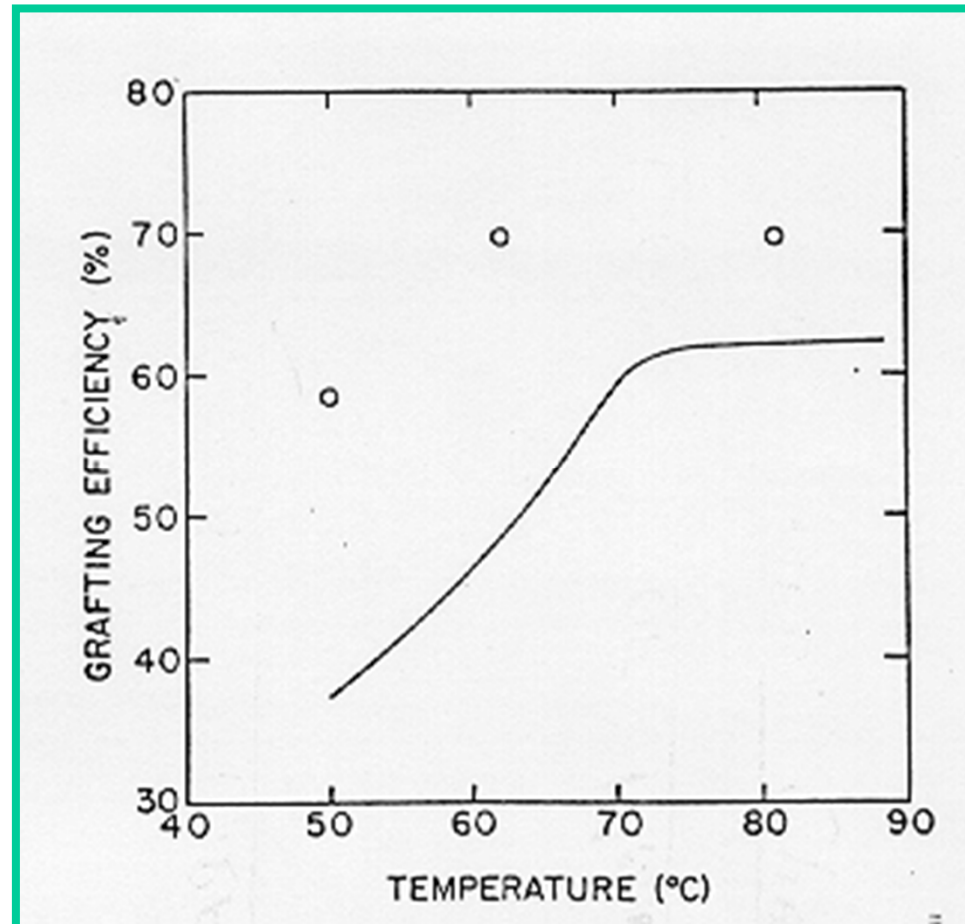


# Effect of Chain Transfer Agent on Grafting



D. Sundberg, Annual EPI Short Course, Lehigh Univ., (1986)

## Effect of Reaction Temp on Grafting

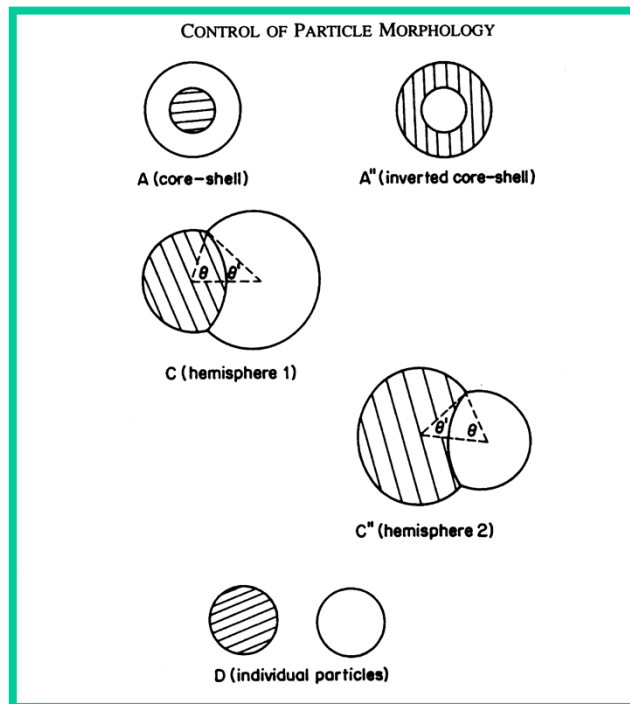


D.C. Sundberg, Annual EPI Short Course, Lehigh Univ., (1986)

# Structure - Thermodynamic consideration

## Free energy change

$$\Delta G = \sum \chi_{ij} A_{ij} - \chi_{iw} A^1_0$$



Case	$\Delta\psi$ (surface energy per unit area)
A (core-shell)	$F[\gamma_{12}(V_r + 1)^{-2/3} + \gamma_{2w}] - \gamma_{1w}Y$
A'' (inverted core-shell)	$F[\gamma_{12}(V_r^{-1} + 1)^{-2/3} + \gamma_{1w}] - \gamma_{1w}Y$
C (hemisphere 1)	$(F/2)\{(V_r + 1)^{-2/3}\gamma_{1w}(1 + \cos \theta) + (V_r + 1)^{-2/3}(1 - \cos \theta)\gamma_{12} + (R_2/R)^2(1 + \cos \theta)\gamma_{2w}\} - \gamma_{1w}Y$ $(R_2/R)^3 = \{1 - (V_r + 1)^{-1}\{1 - (\frac{1}{8})(1 - \cos \theta)[3 \sin^2 \theta + (1 - \cos \theta)^2]\}\}/\{1 - (\frac{1}{8})(1 - \cos \theta)[3 \sin^2 \theta + (1 - \cos \theta)^2]\}$ $(R_2/R) = (V_r + 1)^{-1/3} \sin \theta / \sin \theta$
C'' (hemisphere 2)	$(F/2)\{(R_1/R)^2(1 + \cos \theta)\gamma_{1w} + \gamma_{12}(1 - \cos \theta)(V_r^{-1} + 1)^{-2/3} + (V_r^{-1} + 1)^{-2/3}(1 + \cos \theta)\gamma_{2w}\} - \gamma_{1w}Y$ $(R_1/R)^3 = \{1 - (V_r^{-1} + 1)\{1 - (\frac{1}{8})(1 - \cos \theta)[3 \sin^2 \theta + (1 - \cos \theta)^2]\}\}/\{1 - (\frac{1}{8})(1 - \cos \theta)[3 \sin^2 \theta + (1 - \cos \theta)^2]\}$ $R_1/R = (V_r^{-1} + 1)^{-1/3} \sin \theta / \sin \theta$
D (individual particles)	$F(\gamma_{1w} + \gamma_{2w}V_r^{2/3})(V_r + 1)^{-2/3} - \gamma_{1w}Y$

<sup>a</sup>Where  $Y = K(V_f + 1)^{-2/3}$ ,  $K = (1 + W_r \rho_1 / \rho_{2m})^{2/3}$ ,  $V_f = W_r \rho_1 / \rho_2$ , and  $F = [Y^{3/2} + (1 - \rho_2 / \rho_{2m})X / (1 + 1/V_f)]^{2/3}$ . Here  $\rho_1$ ,  $\rho_2$ , and  $\rho_{2m}$  are the densities of polymer 1, polymer 2, and monomer 2, respectively;  $W_r$  is the weight ratio of total monomer 2 to polymer 1;  $X$  is the polymerization conversion,  $R_1$ ,  $R_2$ , and  $R$  are the radii of polymer phase-1, polymer phase-2, and the overall composite particles, respectively;  $\theta$  and  $\theta'$  (see Figure 9.2) are the angles between the line that connects the two centres of the hemispheres and the line that connects the centres and the three-phase point;  $\gamma_{12}$ ,  $\gamma_{1w}$ ,  $\gamma_{2w}$  are the interfacial tensions between the two polymer phases, polymer phase-1 and water (containing surfactant, if present), and polymer phase-2 and water (containing surfactant, if present) respectively. A polymer phase is defined as polymer 1 or polymer 2 dissolved in MMA monomer;  $V_r$  is the volume ratio of polymer phase-2 to polymer phase-1 (from ref. 39)

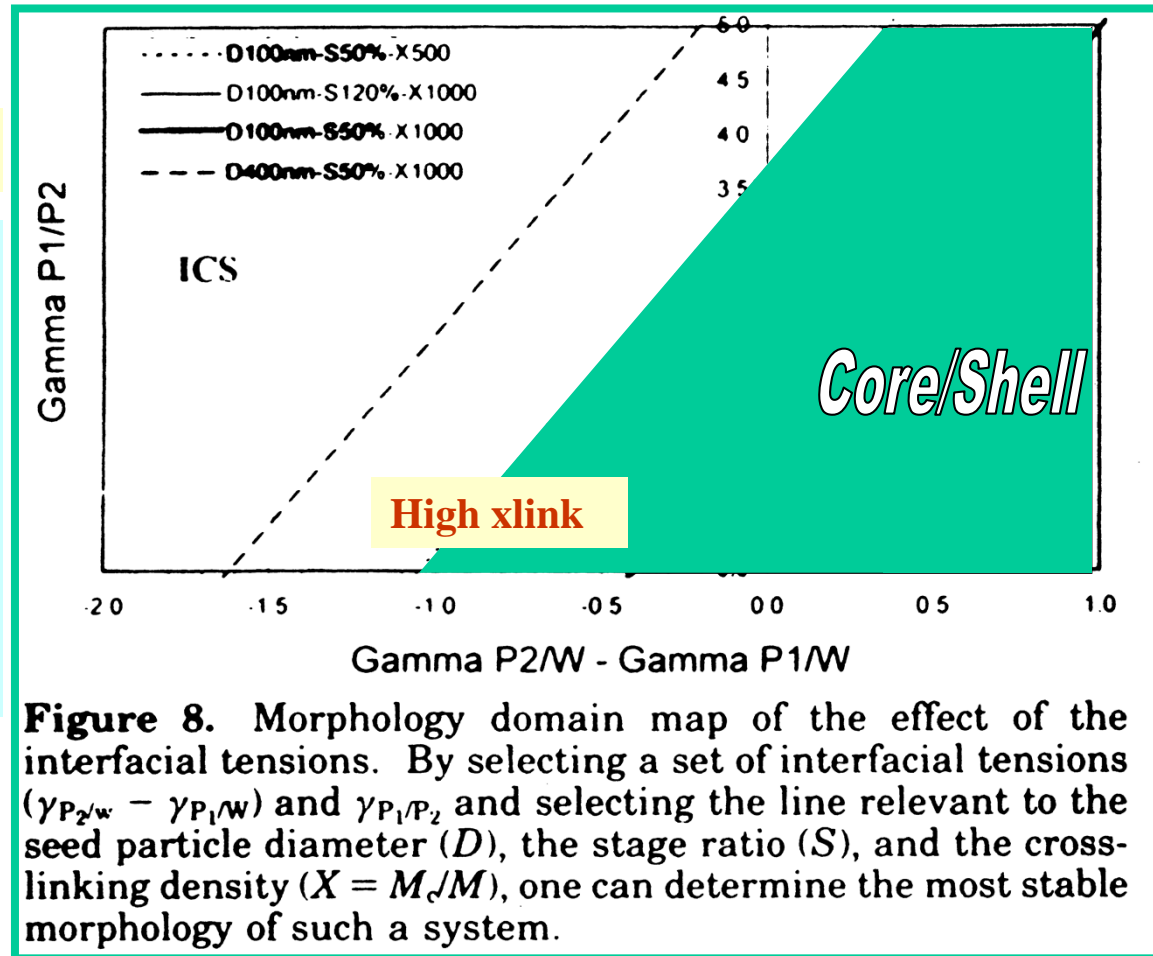
Y. Chen, *Macromolecules*, 24, 3779 (1991)

# Structure - Thermodynamic consideration

## Core shell morphology

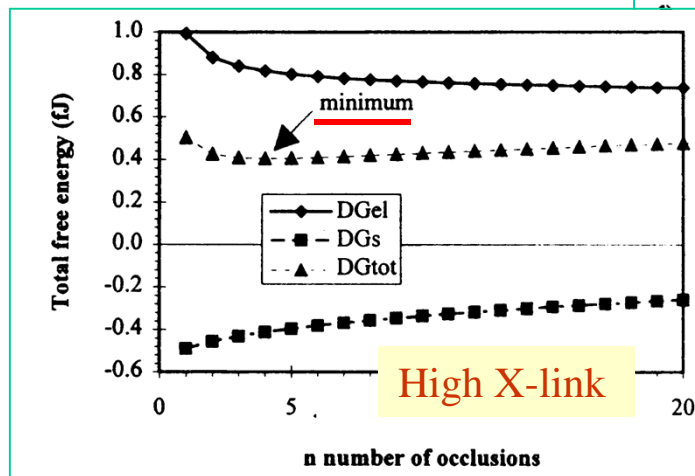
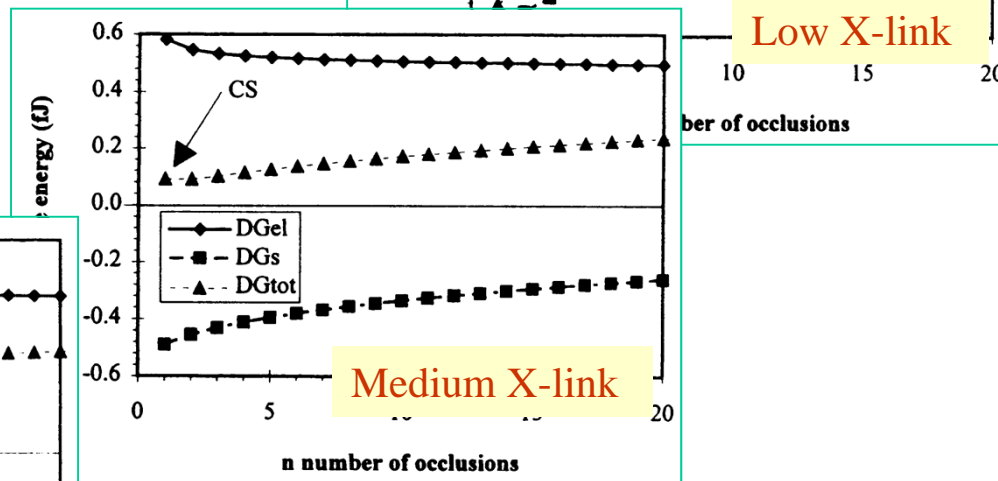
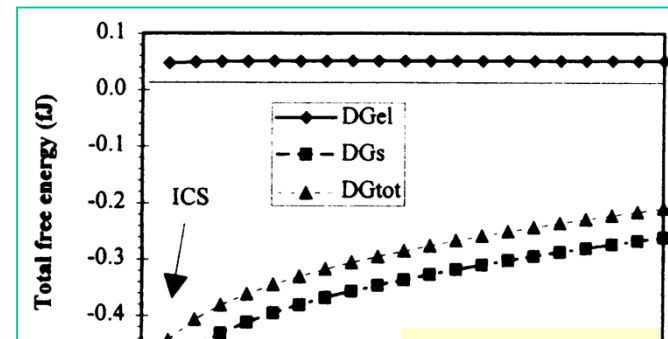
Increase seed particle size  
 Decrease  $\chi_{sw}$   
 Increase cross-link density

$$\frac{\chi_{cw} - \chi_{cs}}{\chi_{sw}} \geq \frac{1 - V_s^{1/2}}{V_c^{1/2}}$$



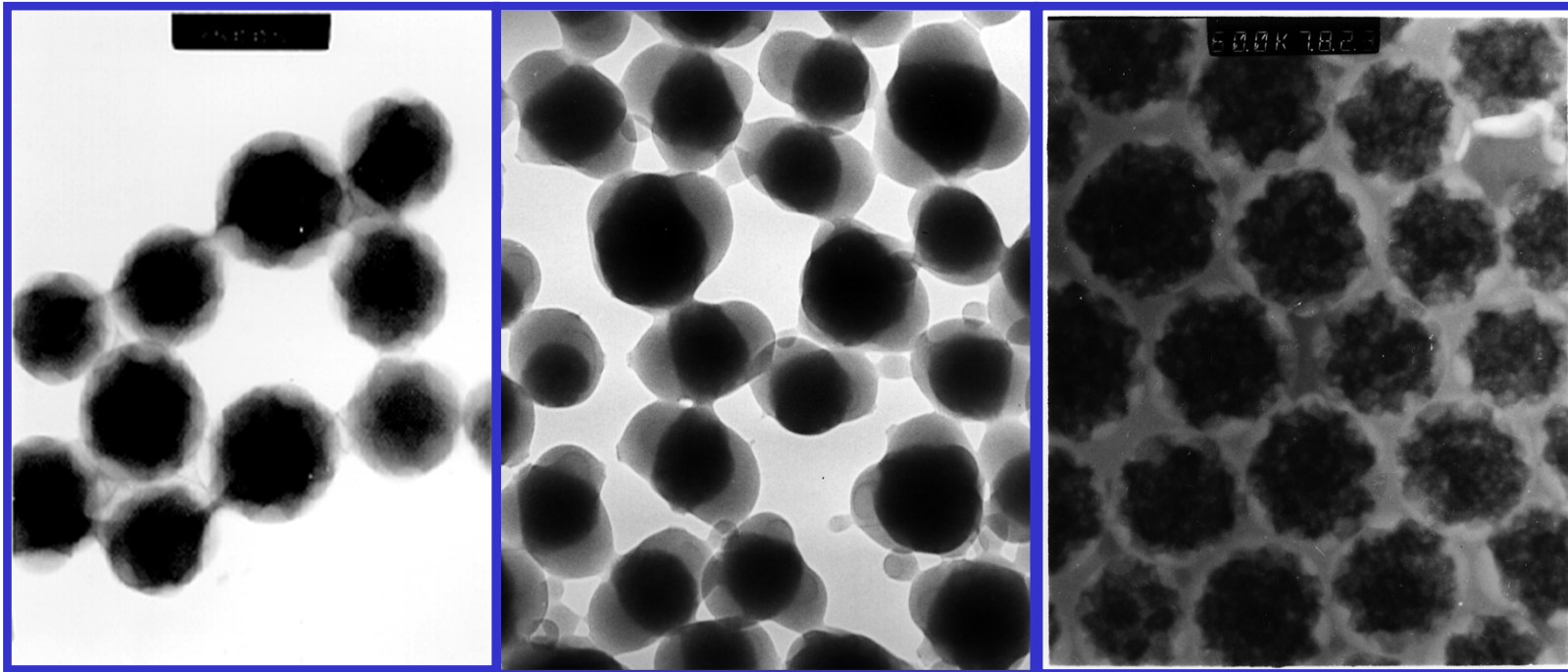
# Structure - Kinetic consideration

No of Internal Occlusions and Minimum Cross-link density for core shell structure



D. Sundberg, *Macromolecules*, 29, 8466 (1996)

## TEM pictures of the graft latexes



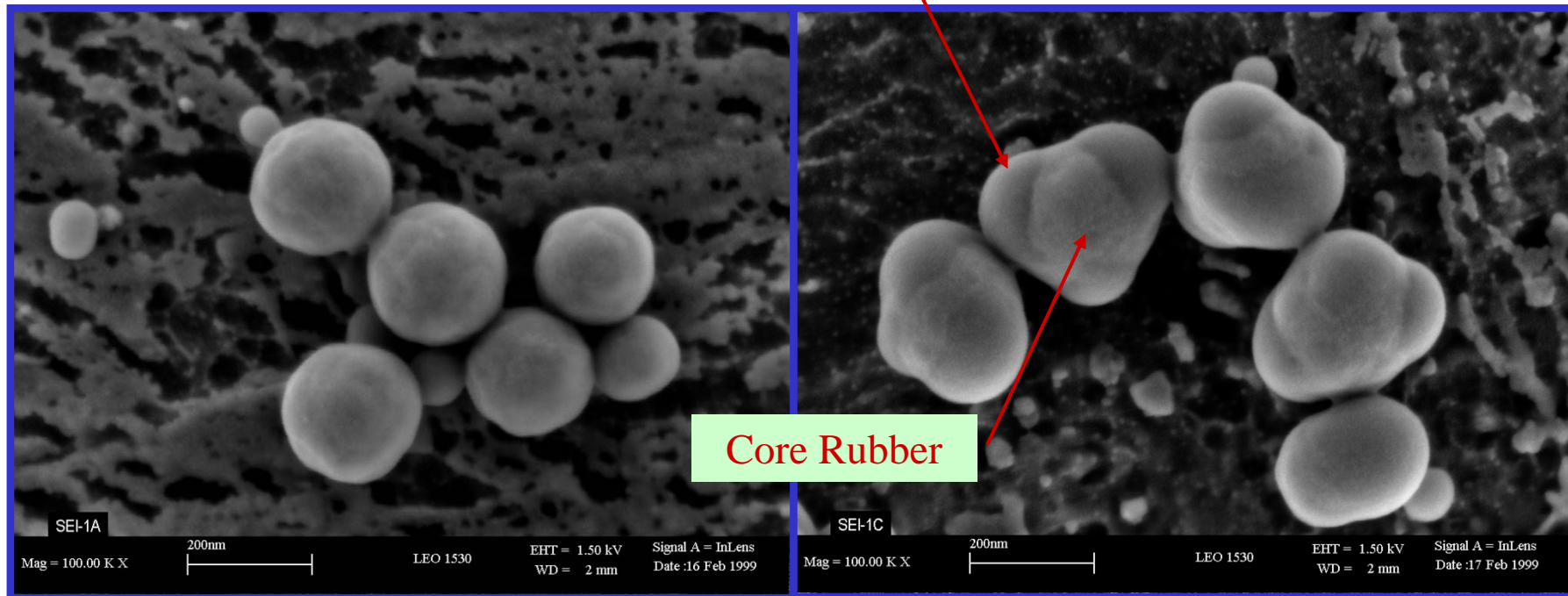
**Core/shell**

**Hemisphere**

**Occlusions**

## SEM pictures of the graft latexes

Graft shell





## Process Modeling

- Polyred / Hysis - Wisconsin
- *Polymer plus* - *Aspen*
- In house programs

# Mathematics

$$\text{😊}^{-1} = \text{☹}$$

$$\text{😊}^3 = \text{😊😊😊}$$

$$\text{😊}^2 = \text{😊😊}$$

$$\partial(\text{😊}) = \text{😊}$$

$$\sin(\text{😊}) = \text{😊}$$

$$\log(\text{😊}) = \text{😊}$$

## Objectives

- Calculation of kinetics and molecular properties from the recipe without doing experiments
  - Conversion curve
  - Particle nucleation and growth
  - Particle size and size distribution
  - Polymer composition profile
  - Heat generation
  - Molecular weight, means, and distribution

## What's good for?

- Simulation doesn't cost much.
  - Better understanding of polymerization by elucidation of mechanisms
  - Better planning and analysis of experiments
  - Straight forward and a easy way to adjust molecular properties
  - Can predict the properties by changing the recipes